# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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AUG 3 1 2004

Applicants(s):

David L. Wood et al.

Title:

SECURE DATA BROKER

Application No.: 09/357,726

Filed:

July 21, 1999

Examiner:

Aravind K. Moorthy

Group Art Unit:

2131

Atty. Docket No.: 004-3633

Confirmation No.:

9654

August 31, 2004

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# RESPONSE TO NON-FINAL OFFICE ACTION

This paper is responsive to the Non-Final Office Action mailed on June 1, 2004, having a shortened statutory period for response set to expire September 1, 2004. In light of the Amendments and/or Remarks herein, further examination is requested.

# ZAGORIN, O'BRIEN & GRAHAM, L.L.P.

Intellectual Property Attorneys

AUG 3 1 2004

7600B N. CAPITAL OF TEXAS HWY, SUITE 350 AUSTIN, TEXAS 78731

### FACSIMILE TRANSMITTAL TO USPTO

To:	Mail Stop Amendment	Fax No.: Phone No.:		
Date:	August 31, 2004	Pages:	10 (including this sheet)	
From:	Steven R. Gilliam		Sender's Phone No.: 512-338-6300 Sender's Fax No.: 512-338-6301	

#### REGARDING:

Title:	SECURE DATA BROKE	R	
Application No.:	09/357,726	Filed:	July 21, 1999
Examiner:	Aravind K. Moorthy	Group Art Unit:	2131
Atty. Docket No.:	004-3633	Confirmation No.:	9654

#### ATTACHED HERETO:

(1) Response to non-final Office action (7 pages); and

(2) Transmittal Sheet (2 pages)

## CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that, on the date shown below, this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office.

Respectfully submitted,

Steven R. Gilliam, Reg. No. 51,734

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August 31, 2004

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## TRANSMITTAL SHEET

Applicant:

David L. Wood et al.

Title:

SECURE DATA BROKER

Application No.: 09/357,726

Filed:

July 21, 1999

Atty. Docket No.: 004-3633

Client Ref. No.: P3633

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

	<b>~</b>	
$\boxtimes$	Response to non-final Office action	(7 page(s))
$\Box$	Petition for Extension of Time (	month) ( page(s))
	Information Disclosure Statement (	page(s)), including PTO Form 1449
	( page(s)), and copies of	reference(s)
	Other: ( page(s))	
	Other: ( page(s))	
	Other: ( page(s))	
$\boxtimes$	Transmittal Letter (2 pages);	
	Return postcard;	

The Total Fee has been calculated as shown below:

	Pending Claims	Claims Previously		Extra Claims	Fees
Total Claims	41	- 41	=	$0 \times $18.00 =$	0.00
Independent Claims	.6	- 6	=	$0 \times \$86.00 =$	0.00
	Multiple De	pendent Clair	ns (if	any) - \$290.00 fee	
Additional Claims Fee					\$ .00
Fee For Extension Of Tin	ne ·				
Other Fees: ( )					
TOTAL FEE DUE:					\$ .00

	Applicant is, or has established status as, a small entity.
	A check is enclosed for the Total Fee shown above.
	Please charge the Total Fee shown above to Deposit Account 50-0631.
$\boxtimes$	The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. § 1.16 o 1.17 which may be required during the pendency of this application, and to similarly credit any
	overpayment, to Deposit Account 50-0631.

ZAGORINAO BRIEN & GRAHAM, L.L.P.

Commissioner for Raterits
August 31, 2004

RE: 09/357,726

Page 2 of 2

#### CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that, on the date shown below, this correspondence is being

- deposited with the US Postal Service with sufficient postage as first class mail, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
- ☐ facsimile transmitted to the US Patent and Trademark Office.

Steven R. Gilliam Date

EXPRESS MAIL LABEL:

Respectfully submitted,

Steven R. Gilliam, Reg. No. 51,734

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